



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ross S. Tsugita

Serial No.: 10/621,972

Examiner: Unknown

Filed: July 17, 2003

Group Art Unit: 3731

For: FILTER FLUSH SYSTEM AND METHODS OF USE

Docket No.: 1001.1421103

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on  
this 25th day of October, 2003.

By: [Signature]  
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (no references are included pursuant to 37 CFR §1.98(d) and 37 CFR §1.98(a)(2)(i)).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: [Signature]  
Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
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P A T E N T

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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 28 day of October, 2003.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

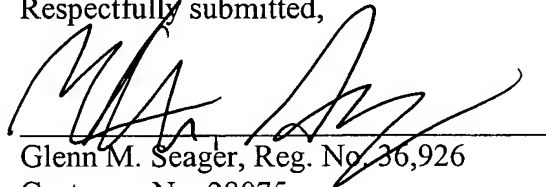
Copies of the documents listed on the 1449 Form were previously either submitted by Applicant, cited by the U.S. Patent and Trademark Office in a prior application, U.S. Application No. 09/676,028, filed September 29, 2000, now U.S. Patent No. 6,620,148 or is a U.S. patent application publication or a U.S. patent, upon which

Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 C.F.R. §1.98(d) and 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: Oct 23, 2003

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

Customer No. 28075

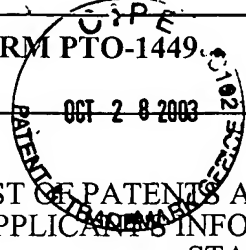
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<b>FORM PTO-1449</b> 	Atty. Docket No.: 1001.1421103	Serial No.: 10/621,972
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Ross S. Tsugita	
	Filing Date: July 17, 2003	Group Art: 3731

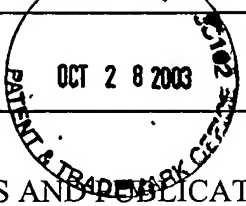
## PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	2002/0029031 A1	03/07/02	Bagaoisan et al.			
	2001/0049517 A1	12/2001	Zadno-Azizi et al.			
	6,605,074	08/12/03	Zadno-Azizi et al.			
	6,569,148	05/27/03	Bagaoisan et al.			
	6,544,276	04/08/03	Azizi			
	6,540,722	04/01/03	Boyle et al.			
	6,485,500	11/26/02	Kokish et al.			
	6,443,926	09/03/02	Kletschka			
	6,398,773	06/04/02	Bagaoisan et al.			
	6,295,990	10/02/01	Lewis et al.			
	6,270,477	08/07/01	Bagaoisan et al.			
	6,231,588	05/15/01	Zadno-Azizi			
	6,214,026	04/10/01	Lepak et al.			
	6,203,561	03/20/01	Ramee et al.			
	6,179,861	01/30/01	Khosravi et al.			
	6,179,859	01/30/01	Bates et al.			
	6,179,851	01/30/01	Barbut et al.			
	6,171,327	01/09/01	Daniel et al.			
	6,168,579	01/02/01	Tsugita			
	6,165,200	12/26/00	Tsugita et al.			
	6,152,946	11/28/00	Broome et al.			
	6,142,987	11/07/00	Tsugita			
	6,135,991	10/24/00	Muni et al.			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,129,739	10/10/00	Khosravi			
	6,086,605	07/11/00	Barbut et al.			
	6,080,170	06/27/00	Nash et al.			
	6,077,295	06/2000	Limon et al.			
	6,068,645	05/30/00	Tu			
	6,059,814	05/09/00	Ladd			
	6,053,932	04/25/00	Daniel et al.			
	6,051,014	04/18/00	Jang			
	6,027,520	02/22/00	Tsugita et al.			
	6,022,336	02/08/00	Zadno-Azizi et al.			
	6,013,085	01/11/00	Howard			
	6,010,522	01/04/00	Barbut et al.			
	6,007,557	12/28/99	Ambrisco et al.			
	6,001,118	12/14/99	Daniel et al.			
	5,997,557	12/07/99	Barbut et al.			
	5,993,469	11/30/99	McKenzie et al.			
	5,989,281	11/23/99	Barbut et al.			
	5,989,271	11/23/99	Bonnette et al.			
	5,989,210	11/23/99	Morris et al.			
	5,976,172	11/02/99	Homsma et al.			
	5,954,745	09/21/99	Gertler et al.			
	5,951,585	09/14/99	Cathcart et al.			
	5,947,995	09/07/99	Samuels			
	5,941,896	08/24/99	Kerr			
	5,941,869	08/24/99	Patterson et al.			
	5,938,672	08/17/99	Nash			

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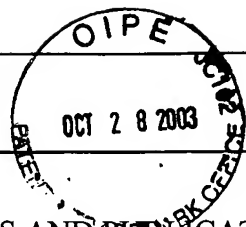
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	5,938,645	08/17/99	Gordon			
	5,935,139	08/10/99	Bates			
	5,934,284	08/10/99	Plaia et al.			
	5,928,218	07/27/99	Gelbfish			
	5,928,203	07/27/99	Davey et al.			
	5,925,063	07/20/99	Khosravi			
	5,925,062	07/20/99	Purdy			
	5,925,016	07/20/99	Chornenky et al.			
	5,916,193	06/29/99	Stevens et al.			
	5,911,734	06/15/99	Tsugita et al.			
	5,910,154	06/1999	Sugita et al.			
	5,908,435	06/01/99	Samuels			
	5,906,618	05/25/99	Larson, III			
	5,902,263	05/11/99	Patterson et al.			
	5,895,399	04/20/99	Barbut et al.			
	5,893,867	04/13/99	Bagaoisan et al.			
	5,876,367	03/02/99	Kaganov et al.			
	5,848,964	12/15/98	Samuels			
	5,846,260	12/08/98	Maahs			
	5,833,650	11/10/98	Imran			
	5,833,644	11/10/98	Zadno-Azizi et al.			
	5,817,102	10/06/98	Johnson et al.			
	5,814,064	09/29/98	Daniel et al.			
	5,810,874	09/22/98	Lefebvre			
	5,800,525	09/01/98	Bachinski et al.			
	5,800,457	09/01/98	Gelbfish			

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	5,797,952	08/25/98	Klein			
	5,795,322	08/18/98	Boudewijn			
	5,792,300	08/11/98	Inderbitzen et al.			
	5,779,716	07/14/98	Cano et al.			
	5,746,758	05/05/98	Nordgren et al.			
	5,728,066	03/17/98	Daneshvar			
	5,720,764	02/24/98	Naderlinger			
	5,709,704	01/20/98	Nott et al.			
	5,695,519	12/09/97	Summers et al.			
	5,669,933	09/23/97	Simon et al.			
	5,658,296	08/19/97	Bates et al.			
	5,634,897	06/03/97	Dance et al.			
	5,569,275	10/29/96	Kotula et al.			
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	5,562,724	10/08/96	Vowerk et al.			
	5,549,626	08/27/96	Miller et al.			
	5,540,707	07/30/96	Ressemann et al.			
	5,536,242	07/16/96	Willard et al.			
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	5,512,044	04/30/96	Duer			
	5,507,767	04/16/96	Maeda et al.			
	5,484,418	01/16/96	Quiachon et al.			
	5,476,104	12/19/95	Sheahon			
	5,462,529	10/31/95	Simpson et al.			
	5,456,667	10/10/95	Ham et al.			
	5,449,372	09/12/95	Schmaltz et al.			

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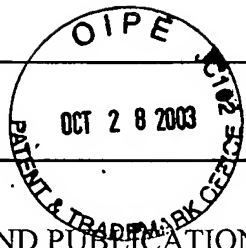
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,443,498	08/22/95	Fontaine			
	5,425,765	06/20/95	Tiefenbrun et al.			
	5,423,885	06/13/95	Williams			
	5,421,832	06/06/95	Lefebvre			
	5,419,774	05/30/95	Willard et al.			
	5,415,630	05/16/95	Gory et al.			
	5,409,454	04/25/95	Fischell et al.			
	5,405,377	04/11/95	Cragg			
	5,397,345	03/14/95	Lazerus			
	5,395,349	03/07/95	Quiachon et al.			
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	5,383,926	01/24/95	Lock et al.			
	5,383,892	01/24/95	Cardon et al.			
	5,383,887	01/24/95	Nadal			
	5,376,100	12/27/94	Lefebvre			
	5,370,683	12/06/94	Fontaine			
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	5,366,473	11/22/94	Winston et al.			
	5,366,464	11/22/94	Belknap			
	5,356,423	10/18/94	Tihon et al.			
	5,354,310	10/11/94	Garnic et al.			
	5,350,398	09/27/94	Pavcnik et al.			
	5,330,500	07/19/94	Song			
	5,329,942	07/19/94	Gunther et al.			
	5,318,576	06/07/94	Plassche, Jr. et al.			
	5,314,472	05/24/94	Fontaine			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,314,444	05/24/94	Gianturco			
	5,306,286	04/26/94	Stack et al.			
	5,195,955	03/23/93	Don Michael			
	5,190,546	03/02/93	Jervis			
	5,171,233	12/15/92	Amplatz et al.			
	5,160,342	11/03/92	Reger et al.			
	5,152,777	10/06/92	Goldberg et al.			
	5,152,771	10/06/92	Sabbaghian et al.			
	5,135,531	08/04/92	Shiber			
	5,133,733	07/28/92	Rasmussen et al.			
	5,108,419	04/28/92	Reger et al.			
	5,104,399	04/14/92	Lazarus			
	5,102,415	04/07/92	Guenther et al.			
	5,100,425	03/31/92	Fischell et al.			
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	5,087,265	02/11/92	Summers			
	5,085,662	02/04/92	Willard			
	5,071,425	12/10/91	Gifford, III et al.			
	5,071,407	12/10/91	Termin et al.			
	5,053,044	10/01/91	Mueller et al.			
	5,041,126	08/20/91	Gianturco			
	5,019,088	05/28/91	Farr			
	5,011,488	04/30/91	Ginsburg			
	5,007,917	04/16/91	Evans			
	5,007,896	04/16/91	Shiber			

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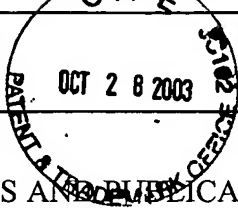
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	5,002,560	03/26/91	Machold et al.			
	4,998,539	03/12/91	Delsanti			
	4,986,807	01/22/91	Farr			
	4,979,951	12/25/90	Simpson			
	4,969,891	11/13/90	Gewertz			
	4,957,482	09/18/90	Shiber			
	4,955,895	09/11/90	Sugiyama et al.			
	4,950,277	08/21/90	Farr			
	4,926,858	05/22/90	Giffort, III et al.			
	4,921,484	05/01/90	Hillstead			
	4,921,478	05/01/90	Solano et al.			
	4,907,336	03/13/90	Gianturco			
	4,898,575	02/06/90	Fischell et al.			
	4,867,157	09/19/89	McGurk-Burleson et al.			
	4,857,046	08/15/89	Stevens et al.			
	4,857,045	08/15/89	Rydell			
	4,842,579	06/27/89	Shiber			
	4,807,626	02/28/89	McGirr			
	4,800,882	01/31/89	Gianturco			
	4,794,931	01/03/89	Yock			
	4,794,928	01/03/89	Kletschka			
	4,790,813	12/13/88	Kensey			
	4,790,812	12/13/88	Hawkins, Jr. et al.			
	4,733,665	03/29/88	Palmaz			
	4,728,319	03/01/88	Masch			
	4,723,549	02/09/88	Wholey et al.			

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	4,706,671	11/17/87	Weinrib			
	4,705,517	11/10/87	DiPisa, Jr.			
	4,662,885	05/05/87	DiPisa, Jr.			
	4,650,466	03/17/87	Luther			
	4,643,184	02/17/87	Mobin-Uddin			
	4,631,052	12/23/86	Kensey			
	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,590,938	05/27/86	Segura et al.			
	4,580,568	04/08/86	Gianturco			
	4,447,227	05/08/84	Kotsanis			
	4,425,908	01/17/84	Simon			
	4,046,150	09/06/77	Schwartz et al.			
	3,996,938	12/14/76	Clark, III			
	3,952,747	04/27/76	Kimmell, Jr.			
	3,889,657	06/17/75	Baumgarten			
	3,683,904	08/15/72	Forster			
	3,592,186	07/13/71	Oster			
	3,472,230	10/1969	Fogarty			
	Re 33,569	04/1991	Gifford, III et al.			
	B1 4,842,579	10/31/95	Shiber			

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	WO 00/49970	08/31/00	WIPO			
	WO 00/16705	03/30/00	WIPO			

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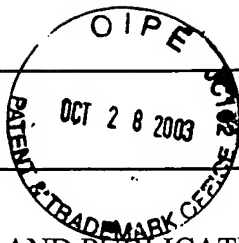
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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
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		WO 00/07655	02/17/00	WIPO			
		WO 99/58068	11/18/99	WIPO			
		WO 99/55236	11/04/99	WIPO			
		WO 99/44542	09/10/99	WIPO			
		WO 99/44510	09/10/99	WIPO			
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		WO 99/23976	05/20/99	WIPO			
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		WO 98/55175	12/10/98	WIPO			
		WO 98/51237	11/19/98	WIPO			
		WO 98/50103	11/12/98	WIPO			
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		WO 98/38929	09/11/98	WIPO			
		WO 98/38920	09/11/98	WIPO			
		WO 98/36786	08/27/98	WIPO			
		WO 98/33443	08/06/98	WIPO			
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		WO 98/23322	06/04/98	WIPO			

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		Document No.	Date	Country	Class	Sub Class	Translation Yes No
		WO 98/02084	01/22/98	WIPO			
		WO 98/02112	01/22/98	WIPO			English Abstract
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FORM PTO-1449	Atty. Docket No.: 1001.1421103	Serial No.: 10/621,972
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Ross S. Tsugita	
	Filing Date: July 17, 2003	Group Art: 3731

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	EP 0 200 688	11/05/86	EP			
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